



# IBMTR/ABMTR newsletter

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## Are Peripheral Blood Stem Cell transplants fulfilling the expectations?

By **Claudio Anasetti, MD**

*H.Lee Moffitt Cancer Center & Research Institute, Tampa, FL*

### Introduction

Many studies of allogeneic marrow transplantation show that higher doses of marrow cells correlate with more robust hematopoietic engraftment and lower mortality from infections. Peripheral blood stem cells (PBSC) collected after mobilization with granulocyte colony stimulating factor (G-CSF) contain more CD34-positive (CD34) progenitors and total cells than bone marrow. These observations led to the hypothesis that transplantation of PBSC would lead to lower mortality compared to transplantation of marrow. Additionally PBSC grafts also have more T cells, predicting a possibly more powerful anti-leukemia effect. However, the higher T cell content may also lead to increased incidence and severity of acute and chronic graft-versus-host disease (GVHD). This concern is especially serious when the donor and recipient are unrelated.

### Cell Dose Effect

Marrow cell dose was long recognized as a limiting factor for engraftment and survival. Among patients receiving HLA-identical sibling transplants for aplastic anemia, infusion of  $< 3 \times 10^8$  cells per kilogram (kg) was associated with increased risks of graft failure and death.<sup>1</sup> The authors of that report suggested: "The greatest possible amount of donor marrow, perhaps supplemented by stem cells derived from the peripheral blood, should be obtained."

Subsequent studies supported this concept. Improved survival was associated with transplantation of higher marrow cell doses in patients with acute myeloid leukemia (AML) in first remission.<sup>2</sup> The number of hematopoietic precursor cells in T-replete marrow grafts was associated with better survival after transplantation from HLA-identical siblings.<sup>3</sup> A higher number of CD34 cells, a population that includes hematopoietic progenitors, was associated with improved survival after T cell depleted,<sup>4</sup> or T-replete marrow grafts from HLA-identical siblings.<sup>5</sup> Cell dose was limiting with transplantation of HLA incompatible unrelated cord blood,<sup>6,7</sup> and with transplantation of HLA incompatible related donor marrow.<sup>8</sup> Engraftment across the HLA barrier was achieved with the use of T-depleted PBSC containing a large dose of CD34 cells.<sup>9</sup> Studies of unrelated donor transplants showed similar results. Among patients with acute leukemia receiving T-replete marrow from unrelated donors, transplantation of nucleated cell doses  $> 3.65 \times 10^8$ /kg was associated with faster neutrophil and platelet engraftment, decreased incidence of severe GVHD, fewer non-leukemic deaths and better leukemia-free survival.<sup>10,11</sup> Similar findings were reported in children receiving unrelated donor transplants for chronic myeloid leukemia (CML)<sup>12</sup> or Hurler's syndrome.<sup>13</sup> Thus,

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We are pleased to announce the creation of the Center for International Blood and Marrow Transplant Research (CIBMTR) – a partnership of the National Marrow Donor Program® (NMDP) and the Medical College of Wisconsin's International Bone Marrow Transplant Registry and Autologous Blood and Marrow Transplant Registry (IBMTR/ABMTR) that integrates the research activities of the 2 organizations.

The program takes effect July 1, 2004, bringing together the two organizations' extensive expertise and unique resources in the areas of blood and marrow transplantation, biostatistics and clinical cancer research. This partnership is built on previous successful collaborations. Dr. Mary Horowitz will serve as Scientific Director of the CIBMTR and Dr. Jeffrey Chell, CEO of the NMDP, as Executive Director. Dr. Daniel Weisdorf, NMDP's current Scientific Director, will serve as Senior Scientific Advisor. Activities will proceed from the joint campuses of the international headquarters of the NMDP in Minneapolis and the IBMTR/ABMTR Statistical Center at the Medical College of Wisconsin in Milwaukee.

The new center is expected to greatly expand research activities during the next five years to increase scientific knowledge regarding blood and marrow transplantation through:

- Retrospective studies of the world's largest blood and marrow transplant databases and tissue sample repositories
- Prospective, multi-center trial design and implementation to explore new strategies to increase the safety and success of transplantation
- Research in immunobiology
- Transplant-focused biostatistics expertise to assist researchers in accessing, analyzing and presenting scientific studies
- Research to improve access to health care services

Scientific and administrative oversight of the Center is modeled on the Working and Advisory Committee structure of the IBMTR/ABMTR. The Center retains the longstanding IBMTR/ABMTR commitment to fostering collaboration and facilitating research efforts of the transplant community. Future issues of this newsletter will come from the CIBMTR and will further inform you on the Center's organizational structure and activities.

# Perspectives

By Olle Ringdén, MD, PhD

Professor of Transplantation Immunology & Medical Director  
Center for Allogeneic Stem Cell Transplantation, Karolinska Institutet, Huddinge University Hospital, Stockholm, Sweden

Last year was another prosperous year for the IBMTR. There were 19 papers published and another 8 submitted for publication. The 2004 Tandem BMT Meeting in Orlando raised a lot of interest with more abstracts submitted (314) and participants (>1500) than to previous meetings. Although the IBMTR/ABMTR continues to maintain fiscal balance, increasing research and education activities have led to increasing needs for personnel and other resources. Ideas to raise funds were discussed and new ideas are most welcome.



IBMTR Executive Committee Chair, Olle Ringdén, MD, PhD, is Professor of Transplantation Immunology & Medical Director of Center for Allogeneic Stem Cell Transplantation, Karolinska Institutet, Huddinge University Hospital, Stockholm, Sweden

During the past year, the IBMTR and the National Marrow Donor Program (NMDP) reached consensus that a formal collaboration between the two organizations will take advantage of complimentary strengths for a productive research program. NMDP brings sophisticated information technology and contracting expertise and a high quality clinical database and IBMTR, its statistical center of experienced data analysis staff, including MD and PhD support, to the collaboration. Hopefully, this combination will have a synergistic effect on research productivity.

The research activity of the IBMTR is a continuous process, among other things comparing stem cell transplantation (SCT) with alternative therapies for various disorders. The role of allotransplant in CML needs to be evaluated in the imatinib era as well as in MDS. What is the optimal timing for such transplants? PBSC transplants are increasingly used, but may result in increased risks of chronic GVHD. A recent IBMTR study suggests that PBSC may not be a good choice (compared to bone marrow) in children and adolescents. A previous study from the IBMTR/EBMT showed that PBSC was favorable compared to bone marrow in patients with advanced leukemia. The role of PBSC for various transplant recipients must be fully evaluated. The IBMTR database can play an important role in this assessment. Transplants

using reduced intensity conditioning (RIC) are increasingly used, not only in elderly patients ineligible for conventional myeloablative conditioning, but also in younger patients. There is a lot of enthusiasm about RIC, but a lack of comparative trials. The IBMTR will play a major role in monitoring the outcome of such transplants and determining whether less toxic regimens will result in a better quality of life and survival for patients with various disorders, such as leukemia, lymphomas, and myeloma. Defining the role of unrelated donor transplants will be an important collaborative task for the IBMTR and the NMDP. Allotransplants for solid tumors such as renal carcinoma, breast cancer, and colon carcinoma will be evaluated in the near future. Mesenchymal stem cells have immune modulatory effects and may enhance engraftment and suppress GVHD. Prospective studies are under way in the US and in Europe. Report Forms for such transplants should be developed and provided by the IBMTR, so that this treatment modality can also be evaluated.

A large proportion of prior IBMTR studies have been proposed and conducted by productive veterans in the field of SCT. However, for the future of the IBMTR, it is important to encourage and welcome young investigators in this important international collaboration. It is also necessary that we utilize our statistical resources in an optimal way. Increased communication between investigators and the Statistical Center via telephone conferences and websites are effective ways to maintain the progress.

An External Review Committee, which met in Milwaukee last year, suggested that the IBMTR/ABMTR should continue to do what it is good at—outcomes research. However, IBMTR contributions should be increased in the evaluation of rare diseases, late effects of SCT and the immunology of and immune reconstitution after SCT. It was also recommended to simplify data reporting and to establish a tissue bank of DNA and RNA, among other things. The latter would enable the evaluation of cytokine polymorphisms and HLA antigens of importance for SCT.

Several scientific, financial and administrative challenges lie ahead for the IBMTR. However, with the collaboration of Mary Horowitz and her enthusiastic staff at the Statistical Center and academic and industrial support worldwide, it is expected that these will be solved in an expert way. We look forward to future Tandem BMT Meetings, at Keystone in 2005 and in Hawaii in 2006, where these and other issues will be discussed. I look forward to these productive years.

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there is abundant evidence supporting the hypothesis that cell doses higher than the average marrow harvest might improve transplant outcome.

## PBSC Characteristics

Hematopoietic precursors circulate in the peripheral blood at a low steady state concentration. Administration of growth factors, such as G-CSF, causes a rapid increase in hematopoietic progenitors in the circulation. Transplantation of autologous, syngeneic or allogeneic G-CSF-mobilized PBSC can produce durable hematopoietic reconstitution when infused after myeloablative conditioning; engraftment is more rapid with PBSC than with marrow.<sup>14,15,16</sup> After transplantation, PBSC may differentiate into mature hepatocytes and epithelial cells in the skin and gastrointestinal tract, indicating that they contain true stem cells.<sup>17</sup> PBSC components collected after G-CSF administration contain two- to five-fold higher numbers of CD34 cells compared to marrow, 10-fold higher numbers of T cells,<sup>18</sup> 24-

fold higher numbers of monocytes, 13-fold higher numbers of natural killer (NK) cells,<sup>19</sup> and 5-fold higher numbers of plasmacytoid dendritic cells.<sup>20</sup> Therefore, more rapid engraftment with PBSC grafts may result not only from increased numbers of CD34 cells, but also from altered properties of CD34 cells or from infusion of more cells of other lineages. Clinical studies indicate that acute GVHD is perhaps increased after PBSC transplantation, but is definitely not as high as one would expect with the infusion of 1-2 logs more T cells. Possible explanations are that T cells are functionally altered in G-CSF-mobilized PBSC or that infused accessory cells regulate T cell function.<sup>21,22,23</sup> Thus, there are multiple quantitative and qualitative differences between PBSC and marrow transplants.

## Safety of G-CSF in Normal Donors

Advantages for the donor of PBSC apheresis over marrow harvest include the avoidance of general anesthesia and surgical complications. A randomized study of sibling transplantation demonstrated similar levels of physical discomfort for marrow and PBSC

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# Perspectives

**By Richard E. Champlin, MD**

*Professor of Medicine, Robert C. Hickey Chair of Clinical Cancer Care, Associate Head, Hematology, Division of Cancer Medicine, Chairman, Department of Blood and Marrow Transplantation, M.D. Anderson Cancer Center, Houston, TX, USA*

The ABMTR had another successful and highly productive year in 2003 due to the incredible efforts of the Registry staff and participating investigators in each of the Working Committees. This included 17 peer reviewed publications involving leukemia, lymphoma, and selected solid tumors. These included comparisons of autologous vs. allogeneic stem cell transplantation for lymphoblastic (Levine et al Blood 101:2476, 2003) and follicular lymphoma (van Besien et al Blood 102:3521, 2003). Metayer et al performed a case control study assessing myelodysplastic syndrome after autologous stem cell transplantation (Blood 101:2015, 2003). Bierman et al published a provocative analysis comparing syngeneic, autologous and allogeneic transplants for lymphoma (J Clin Oncol 21:3744, 2003). A large analysis was conducted with EBMT assessing the outcome of autologous transplants for mantle cell lymphoma (Vandenberghe et al, Br J Haematol 120:793, 2003). Rizzo et al reported results of syngeneic transplants for metastatic breast cancer (Bone Marrow Transplant 32:151,2003).

Reece and colleagues reported on autologous stem cell transplants for myeloma related to age less than or greater than 60 years (Bone Marrow Transplant 32:1135, 2003). Manuscripts have been submitted reporting that pretransplant consolidation chemotherapy decreases leukemia relapse after autologous blood and bone marrow transplants for AML in first remission (Tallman et al) and describing the results of cord blood transplantation in children (Rubinshtein et al) and adults (Laughlin et al).

ABMTR committees continue to address critical issues involved with the indications and optimal use of autologous stem cell transplantation, including its role vs. allogeneic transplant options or standard dose treatment. There continues to be a major role for observational studies employing the large datasets of the ABMTR, IBMTR and collaborating cooperative group organizations. We continue to work productively with colleagues in the European Bone Marrow Transplant Group and National Marrow Donor Program. The ABMTR is also active as the coordinating body for the U.S. Blood and Marrow Clinical Trials Network, facilitating the conduct of prospective clinical trials addressing fundamental issues of hematopoietic transplantation. The ABMTR/IBMTR fills a major unmet need to collect research data on patients receiving hematopoietic transplants throughout the world and leading the development of both observational research and prospective clinical trials necessary to address the critical issues in this field. Under Dr. Horowitz's leadership, the ABMTR and IBMTR

have emerged as a central organization leading research in hematopoietic transplantation.

This is an exciting time in the field of hematopoietic transplantation. Advances in molecular and cell biology, hematopoiesis and stem cells, transplant and tumor immunology and genetic therapies all come together in innovative translational applications in hematopoietic transplantation. It is a major challenge for ABMTR and IBMTR to stay abreast of the important advances and lead the further development of the field. The use of autologous and allogeneic stem cell transplants is rapidly evolving from a supportive care modality allowing administration of high dose chemotherapy to becoming the platform for innovative applications involving tumor vaccines, immunotherapy and use of engineered stem cells and lymphoid populations to improve the specificity and efficacy of transplant based therapies.

ABMTR and IBMTR are dynamic organizations dependent on the quality of its staff and participating investigators from transplant centers. The organization involves a large and diverse group of investigators and provides enormous opportunities for junior and established investigators to lead and participate in cutting edge clinical research. I encourage physicians and scientists from all participating centers to become active in ABMTR/IBMTR. Individuals are encouraged to contact the Working Committee chairs or any of the ABMTR/IBMTR leadership and become involved in the research projects. We encourage proposals for new analyses or projects to be sent to the appropriate committee chair. The annual Tandem meetings provide a great opportunity to become involved. I look forward to seeing you there.



ABMTR Executive Committee Chair, Richard E. Champlin, MD, is Professor of Medicine, Robert C. Hickey Chair of Clinical Cancer Care, Associate Head, Hematology, Division of Cancer Medicine, Chairman, Department of Blood and Marrow Transplantation, M.D. Anderson Cancer Center, Houston, TX, USA

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donors; PBSC donors had quicker resolution of symptoms.<sup>24</sup> Administration of G-CSF in normal donors is associated with bone pain, malaise, myalgias, headache, leukocytosis and mild thrombocytopenia.<sup>25</sup> These usually reverse within two days of discontinuing the drug. Self-limited laboratory abnormalities include elevated alkaline-phosphatase, lactate dehydrogenase, uric acid, alanine aminotransferase, g-glutamyl transpeptidase, and decreased potassium and magnesium. G-CSF also causes transient hemostatic changes, including increases in prothrombin fragment, thrombin-antithrombin complex and D-dimers.<sup>26</sup> Case reports describe rare events, such as myocardial infarction<sup>27</sup> or stroke.<sup>28</sup> These thrombotic complications occurred in donors with a history of peripheral vascular disease or myocardial infarction and are unlikely in healthy donors. Spontaneous spleen rupture is an unusual and rare adverse event following G-CSF administration for PBSC collection.<sup>29,30</sup> Preliminary National Marrow Donor Program (NMDP) experience with PBSC col-

lection from unrelated donors indicates a favorable short-term safety profile.<sup>31</sup> The existence of late side effects from G-CSF will not be known until many donors are evaluated for a long period of time.

### Results of Randomized Trials of PBSC versus Marrow from HLA-Identical Siblings

**Engraftment:** Eight randomized trials compared transplantation of mobilized PBSC and marrow from HLA-identical sibling donors.<sup>32,33,34,35,36,37,38,39</sup> Each of these trials enrolled 30 to 350 patients. Neutrophil engraftment occurred significantly earlier with PBSC in seven trials, and platelet engraftment occurred significantly earlier with PBSC in all trials.

**Acute GVHD:** The risks of acute grades II-IV GVHD were similar in seven trials, while the European Blood and Marrow Transplant (EBMT) study<sup>40</sup> noted a 13% greater incidence of grade II-IV GVHD and a 12% greater incidence of grade III-IV GVHD with PBSC. The

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following differences among the trials may explain the discrepant results:

1. All trials used cyclosporine and methotrexate for GVHD prevention. However, the EBMT study omitted the Day 11 methotrexate from the regimen while the next two largest trials in the U.S.<sup>41</sup> and Canada<sup>42</sup> included Day 11 methotrexate. In prior studies of marrow transplantation, the omission of Day 11 methotrexate increased the risk of GVHD.<sup>43</sup>
2. The EBMT trial employed G-CSF posttransplant while the U.S. and the Canadian trials did not. There is no obvious relationship between posttransplant G-CSF and GVHD.
3. The EBMT trial was the largest and therefore had the most statistical power to detect a difference.

**Chronic GVHD:** All trials suggested that PBSC transplantation was associated with more chronic GVHD, and three trials found a statistically significant increase in chronic GVHD. The Day 11 dose of methotrexate was omitted in the three trials where PBSC led to a statistically significant increase in incidence of chronic GVHD. While this observation does not directly explain a higher incidence of chronic GVHD, patients with acute GVHD are more likely to develop chronic GVHD and patients who do not receive the Day 11 dose of methotrexate are more likely to develop acute GVHD.

**Survival and Relapse:** The second and third largest trials, involving 228 and 172 patients in Canada and the U.S., respectively, showed significantly better survival or disease-free survival with PBSC. In the U.S. study, the survival difference of 13% at 2 years was greatest among patients with advanced hematologic malignancies. Both reduced transplant-related mortality and reduced relapse contributed. This study enrolled more patients with advanced hematologic malignancies than the others. The U.S. trial failed to detect improved survival with PBSC in patients with early stage disease, perhaps because of the relatively small sample size or perhaps because both transplant-related mortality and relapse are lower in this group regardless of graft source. The Canadian trial enrolled patients with early leukemia, and found a significant survival advantage with PBSC of 10% at 2 years, primarily due to reduced non-relapse mortality. The EBMT trial enrolled almost exclusively patients with early leukemia, and showed no differences in disease-free survival or overall survival. Differences between the EBMT and Canadian trials were discussed above. One possible interpretation is that the administration of post-grafting methotrexate, using full dose and schedule, may be critical to prevent acute and chronic GVHD after PBSC transplantation and to realize the potential for PBSC to improve patient survival by 10-13% at 2 years.<sup>44</sup>

#### Results of Phase II Studies of PBSC from Unrelated Donors

**European Studies:** Initial reports demonstrated the feasibility and potential safety of G-CSF-mobilized PBSC transplants from unrelated donors.<sup>45,46,47,48</sup> In matched-cohort studies by Ringdén<sup>49</sup> and Remberger,<sup>50</sup> PBSC achieved faster neutrophil and platelet engraftment compared to marrow transplantation, with no difference in acute GVHD, relapse, treatment-related mortality, or survival. Elmaagacli and colleagues<sup>51</sup> proposed that for patients with CML in chronic phase, HLA-matched unrelated PBSC transplants decreased relapse and improved survival when compared with bone marrow.

**Preliminary NMDP Phase II Data in Unrelated Donor PBSC Transplants:** A prospective study was conducted by the NMDP to test the feasibility of harvesting PBSC from volunteer donors and the safety of transplanting those PBSC to patients with hematological disorders. Donors were treated daily with G-CSF 10 mcg/kg and PBSC were harvested on Days 5 and 6.

Cells collected on Day 5 were stored at 2-8°C. The two-day collection was transported at 2-8°C and infused fresh into the recipient. An interim analysis evaluated results of 222 transplants facilitated by 55 apheresis centers and 57 transplant centers over the first year of study. PBSC were obtained in a one-day (n=47) or two-day (n=175) collection. The median blood volume processed was 12 liters per day, and 24 liters per total collection. Transplant regimens varied according to institutional protocols. The incidence of engraftment was 96%, acute GVHD grades II-IV 47%, acute GVHD grades III-IV 33%, extensive chronic GVHD 36%, non-relapse mortality 18% at 100 days and 41% at one year, relapse 26%, survival 35% and disease-free survival 32% at one year. Outcomes of PBSC and marrow transplants conducted at the same institutions over the same period were compared. Multivariate analyses were used to adjust for differences in patient age, gender, cytomegalovirus serology, performance status, diagnosis and stage, interval from diagnosis to transplant, donor age, HLA matching, transplant center, year of transplant, conditioning and immunosuppressive regimen. PBSC were associated with faster neutrophil and platelet engraftment, similar risk of GVHD grades II-IV, increased GVHD grades III-IV, and similar rates of relapse, survival and disease-free survival.

Models were controlled for transplant center and year, recipient age, gender, diagnosis, Karnofsky score and CMV, donor age, HLA matching, conditioning and immunosuppressive regimens.

Within the initial 100 days after transplantation, PBSC was associated with a lower hazard of death (relative risk [RR] 0.6, 95% C.I. 0.4-0.8, p=0.003); after the 100 days there was no association (RR 1.2, 95% C.I. 0.8-1.7, p=0.39). When reduced-intensity transplants, defined by the use of whole body irradiation at a dose below 800 cGy or the use of mycophenolate mofetil, were excluded from the analysis, PBSC was associated with a small but not statistically significant survival advantage at 100 days (RR=0.7, p=0.10) and similar overall survival (RR=0.95, p=0.73). A multivariable analysis restricted to PBSC recipients found that the highest quintile of CD34 cell doses (i.e. > 10<sup>7</sup> per kg) was associated with an increased risk of chronic GVHD but had no association with survival.

We conclude that harvest and transplantation of PBSC from volunteer donors are feasible and, within the constraints of this initial study, appear at least as safe and effective as marrow grafts. Since this study was not randomized and the groups of patients who received PBSC and marrow differed for many variables, we cannot conclude with certainty that PBSC is better, worse, or the same as marrow. Although the benefits and risks of PBSC transplants from unrelated donors are not proven, the utilization of unrelated donor PBSC in the U.S. is increasing: use of PBSC now exceeds the use of marrow for unrelated donor transplantation. The continued rise in utilization of PBSC in the absence of definitive data demonstrating any long-term advantages over marrow and concern about possible increased risks of chronic GVHD, supports the rationale for the timely conduct of a prospective randomized trial of PBSC versus marrow in unrelated donor transplantation. A prospective, randomized, multicenter clinical trial of unrelated donor transplantation sponsored by the U.S. Blood and Marrow Clinical Trials Network and the NMDP was recently activated and will test the hypothesis that transplantation of PBSC leads to similar patient survival compared to transplantation of marrow.

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# IBMTR/ABMTR Data Management Updates

By Mark Reitz

This column is dedicated to announcing new tools and forms available to help those who submit data to the IBMTR/ABMTR Statistical Center. In addition, there is a list of the most Frequently Asked Questions (FAQ) received at the Statistical Center.

## A New IBMTR/ABMTR Mentoring Committee is available

Make sure you visit [www.datamanager.blogspot.com](http://www.datamanager.blogspot.com) to find an international group of Clinical Research Associates who are willing to help others in their field. The mentoring committee is a great resource for all involved in the ever-changing world of clinical research. It allows you to correspond with someone doing the same job as you.

There are many useful internal and external links, including:

- A list of Mentors willing to help you with your questions.
- Data Managers News and Information.
- List of helpful abbreviations for Data Managers.
- Links to: EBMT, NMDP, BMT-infonet, CTCAE(3.0), HIPAA, HLA Typing, Social Security Death Index, Internet Drug Index, Medical Calculator and more...

## During the past year the IBMTR/ABMTR has released a new version of virtually all Report Forms, as Series 2002, including:

- Core Insert
- Follow-up Core Insert
- Five new Graft Inserts
- Donor Cellular Infusion (DCI) Insert
- AlloDCI Graft Insert
- Twelve new DCI Supplement Inserts

You can view and download the new forms online at <http://www.ibmtr.org/>. Click on the menu far left side "Data Collection". Click on "Report Forms".

Some StemSoft Centers print out their forms and mail them to us. For accuracy and efficiency reasons, the IBMTR/ABMTR prefers that all data is submitted electronically rather than paper. Please contact Mark Reitz at [reitzm@mcw.edu](mailto:reitzm@mcw.edu) or 414-456-8137 with any questions.

## During the past year the IBMTR/ABMTR has released a new version of the following registration form:

- Pre-registration Form

You can view and download the new form online at <http://www.ibmtr.org/>. Click on the menu far left side "Data Collection". Click on "Registration Form". Next, click on "Research Centers". Finally, click on "Registration Forms".

In addition, the IBMTR/ABMTR and StemSoft have worked together, and are pleased to announce the release of *TED on the Web*. This allows online data entry for all Registration Forms. Please visit <http://www.ibmtr.org/datacollect/datacollec.html> for more information.

**Also, recently released: The Instructions for Completing the Series 2002-Core Insert, Follow-up Core Insert and DCI Insert.** Please visit [http://www.ibmtr.org/datacollect/dc\\_managmanu.html](http://www.ibmtr.org/datacollect/dc_managmanu.html) and click on the **Manual for Clinical Research Professionals** link to gain access to this manual that describes how to complete the 002-Core Insert.

This manual is divided into three sections:

**Section 1** lists general reporting rules that apply not only to completing Research Report Forms, but also apply to the Registration process.

**Section 2** lists each question from the 002-Core Insert.

**Section 3** contains information on electronic reporting and some helpful appendices, including a table that shows the relationship between questions found in 002-Core, 002-CoreFU and 002-DCI Inserts.

The information contained in this document is subject to change without notice. Please check back at least every few months to make sure you have the most up-to-date instructions and direct your questions, suggestions or comments about this Manual to Diane Knutson at [dknutson@mcw.edu](mailto:dknutson@mcw.edu).

## Frequently Asked Questions:

**Q: Why does every patient need to be Registered? Which form do I use to register a patient?**

### A: Research Teams

Must Pre-Register all patients  $\leq 2$  weeks prior to start of high dose conditioning (including day 1 of conditioning) using the IBMTR/ABMTR Pre-Registration Form. This is to insure the IBMTR/ABMTR database is representative of the BMT population.

The Pre-Registration Form is adapted from the TED-01 form but includes several additional data fields to allow rational selection of patients for comprehensive data reporting via the Report Form. Pre-Registration Form information is entered in a randomization program that weighs cases based on the needs for current and future studies while ensuring adequate representation of all transplant types and indications. Comprehensive data via the Report Form may be requested for 100% of all registered patients with rare diseases or utilizing new therapies. Diseases that are more common are randomized for Report Form completion to insure a statistically significant representation. All cases require a MTED and TED follow-up (TEDFU) yearly whether or not a Report Form was due.

### A: Registering Teams

Must Register all patients using the Transplant Essential Data Form (TED-01) at 100 days post transplant and TED follow-up (TEDFU) yearly to insure the IBMTR/ABMTR database is representative of BMT population. Note that Registering Teams do not submit Pre-Registration Forms.

**Q: Does each transplant receive a different IUBMID number?**

**A:** No, every *patient* receives only one IUBMID number, regardless if they receive an Allo transplant, Auto transplant, Subsequent Transplant or DCI. Do **not** assign a new IUBMID number for subsequent transplants/infusions for any reason.

IUBMID numbers are assigned by your institution, should be unique and by itself, should suffice to identify the patient and should not be liable to change. IUBMID numbers cannot be assigned using patient identifiers such as name, social security number, medical record number, etc., and should only be six digits long.

**Q: What does the IBMTR/ABMTR Report Form consist of?**

**A:** A Day-100 Report Form consists of a Core Insert, Graft Insert and Disease Insert. It is possible that a Report Form is requested for a patient in which a Disease Insert does not yet exist. In those instances, complete the 2002 Core Insert and Graft Insert only. When the Disease Insert has been released, you will receive a request to complete one at that time.

**Q: What is the difference between multiple infusions, subsequent transplants and DCI's?**

**A:** Any infusion given within 14 days of the first infusion for an HSCT will be counted as one single transplant with multiple infusions. If a Report Form is required, you will complete one Core Insert and one Disease Insert, but you must complete separate Graft Inserts for different tissues or separately answer Graft Insert questions pertaining to the handling of the graft and quantity of cells infused for each day of infusion for the same tissue.

Typically, engraftment has occurred by day 14. If not, intervention is generally taken and should be reflected as a separate HSCT, unless it is an autologous rescue.

DCI's were given the cut off of 28 days. Any infusions less than 28 days from the first are considered multiple infusions for a single DCI. Anything after must be reported separately.

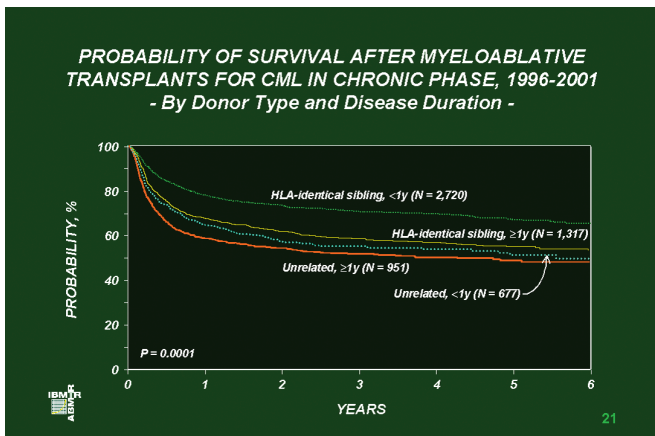
Anytime conditioning is used, that HSCT must be reported separately, regardless of how much time has lapsed.

Please refer to Appendix A of "The Instructions for Completing the Series 2002-Core Insert, Follow-up Core Insert and DCI Insert" for detailed timelines for reporting data.

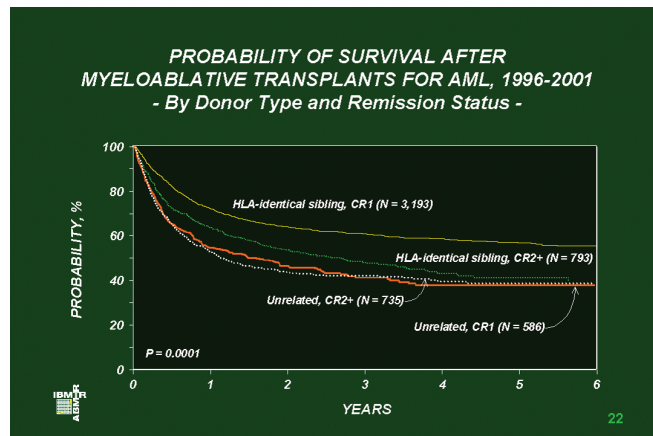
# Summary slides 2003 - Part II

By Fausto Loberiza, Jr, MD, MS

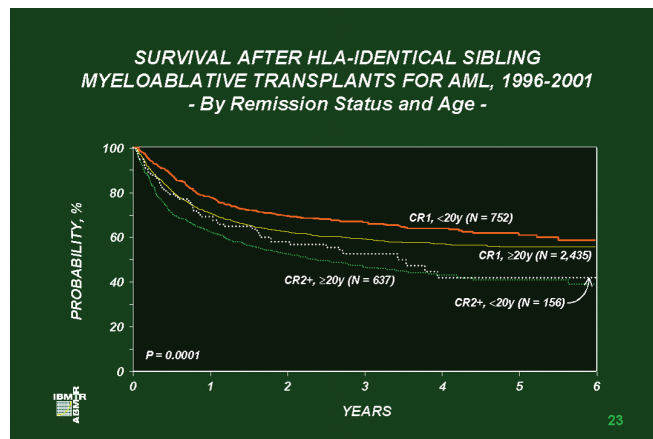
(Part I appeared in the Volume 10 Issue 1 November 2003 edition of the Newsletter)



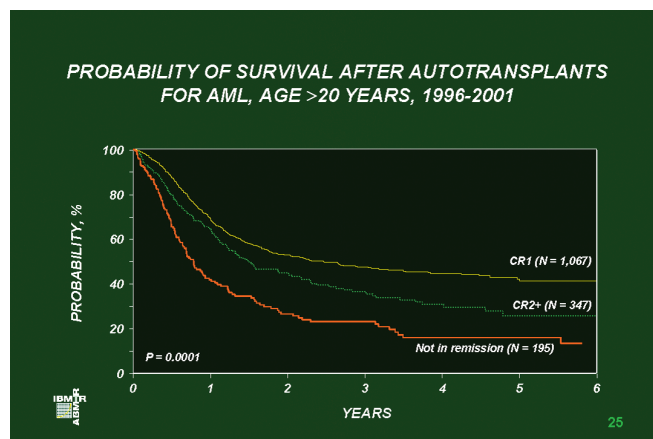
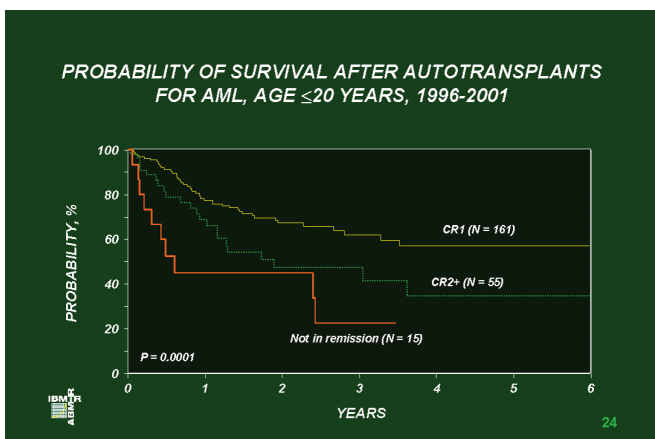
**Slide 21:** Both related and unrelated donor transplantation remain treatment options for chronic myelogenous leukemia (CML), though the numbers of transplantations for early stage CML dropped after introduction of imatinib mesylate as a nontransplant therapy. Three-year probability of survival after HLA-identical sibling transplantation for chronic phase CML done within the first year of diagnosis is  $71 \pm 1\%$ ; if transplantation is done more than one year after diagnosis, the probability is  $59 \pm 2\%$ . Corresponding probabilities with unrelated donors are  $55 \pm 2\%$ , and  $52 \pm 2\%$ .

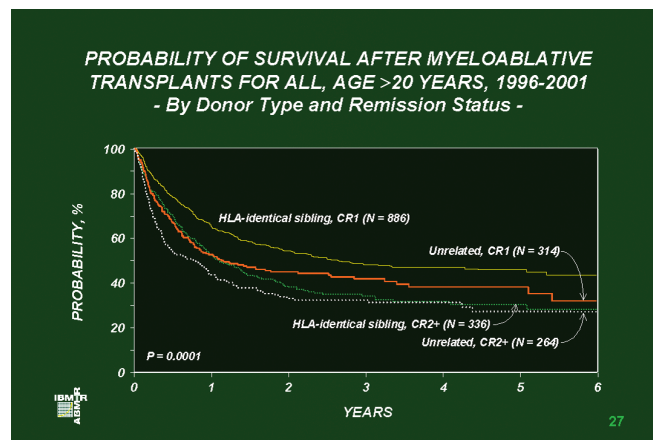
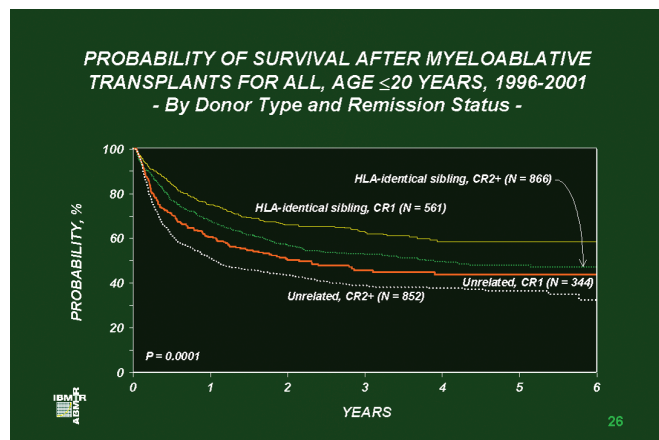


**Slides 22 and 23:** Among 5,307 allotransplants performed between 1996 and 2001 for acute myelogenous leukemia (AML), reported to the IBMTR, recipients of HLA-identical sibling transplants in first remission had a 3-year survival probability of  $61 \pm 1\%$ ; patients in  $\geq 2$ nd remission had a 3-year survival probability of  $48 \pm 2\%$ . Three-year survival probabilities after unrelated donor transplantations were lower than after HLA-identical sibling transplantation,  $41 \pm 2\%$  and  $42 \pm 2\%$  in 1st and  $\geq 2$ nd remissions, respectively. Age at transplantation is also associated with survival with younger patients having higher survival probabilities.



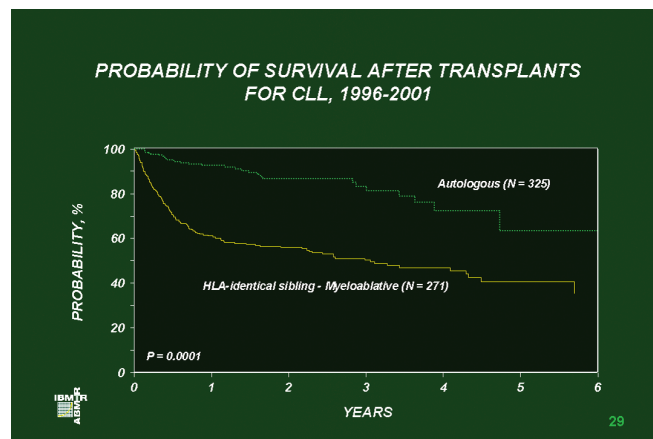
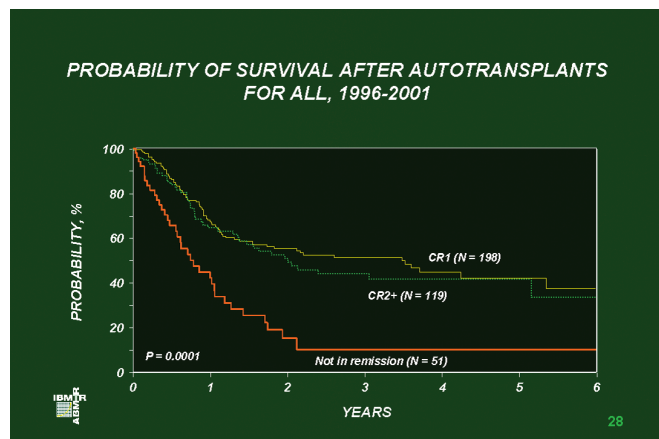
**Slides 24 and 25:** From 1996 to 2001, 231 and 1,609 autotransplants for AML were performed in patients  $\leq 20$  years old and  $> 20$  years old, respectively, and were registered with ABMTR. Three-year survival probabilities for patients transplanted in first remission were  $62 \pm 5\%$  in those  $< 20$  and  $48 \pm 2\%$  among those older than 20. Corresponding survival probabilities after transplantation in second remission were  $48 \pm 8\%$  and  $37 \pm 4\%$ . There are few autotransplants performed for AML in relapse and outcome is poor.





**Slides 26 and 27:** Many patients with acute lymphoblastic leukemia (ALL) are cured with conventional chemotherapy. For patients failing chemotherapy, i.e. in relapse or  $\geq 2$ nd remission, or patients in first remission at high risk for failing chemotherapy (older age, high leukocyte count at diagnosis, Philadelphia or other chromosome abnormalities), transplantation is a viable option. Three-year probability of survival after HLA-identical sibling transplantation for ALL in first remis-

sion is  $62 \pm 2\%$  for patients  $\leq 20$  years of age and  $48 \pm 2\%$  for older patients. Survival probabilities are 6 to 10% lower after transplantation in second remission. The 3-year survival probabilities after unrelated donor transplantation in first remission are  $46 \pm 3\%$  and  $42 \pm 3\%$ , for patients  $\leq 20$  years old and  $> 20$  years old, respectively; survival probabilities are 6 to 10% lower if transplantation is performed in second or subsequent remissions.



**Slide 28:** Between 1996 and 2001, 368 autologous transplants were performed for ALL and registered with the ABMTR. Three-year survival probabilities were  $51 \pm 4\%$ ,  $44 \pm 7\%$  and  $10 \pm 6\%$  for patients in 1st remission,  $\geq 2$ nd remissions, and not in remission, respectively.

**Slide 29:** Transplantation for CLL has generally been reserved for patients with advanced disease for whom other therapy has failed.

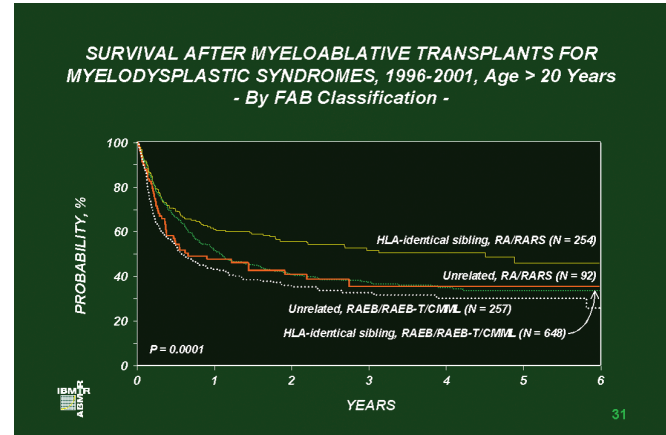
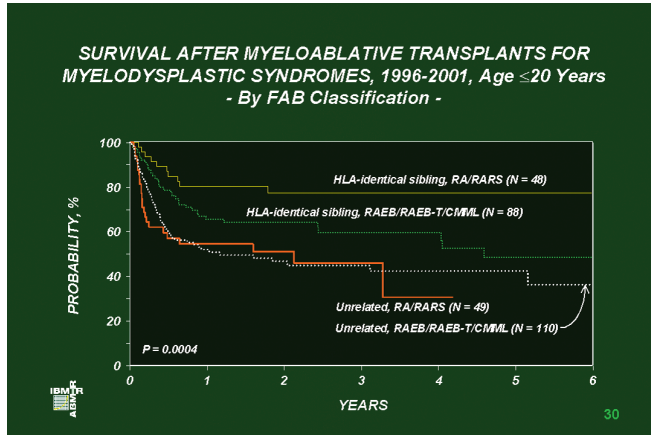
Patients selected for allotransplantation, in particularly, have many poor prognostic characteristics. Among 325 patients receiving autotransplants for CLL between 1996 and 2001 and registered with ABMTR, the 3-year survival probability was  $83 \pm 4\%$ . Among 271 patients who underwent myeloablative HLA-identical sibling transplantation between 1996 and 2001 and were registered with the IBMTR, the 3-year survival probability was  $50 \pm 3\%$ .

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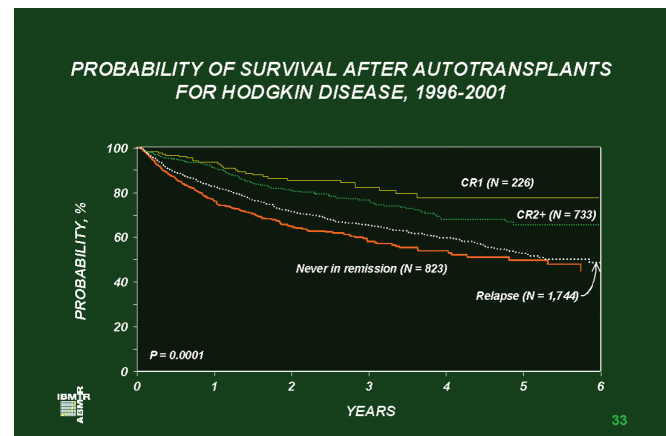
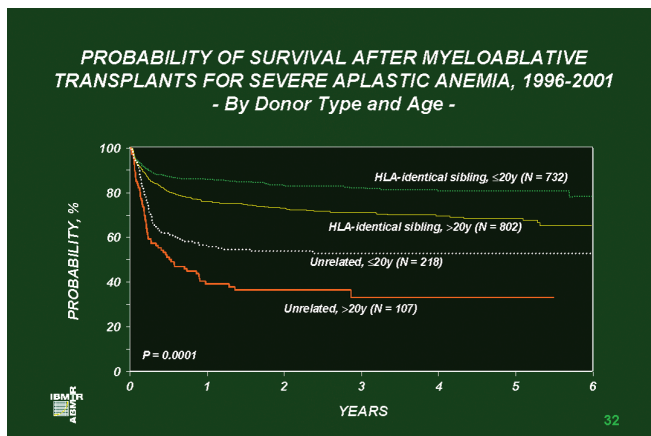
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**Slide 30 and 31:** Allogeneic transplantation can cure some patients with myelodysplastic syndromes. Survival of patients differs by the type of donor, recipient age and FAB classification. Among patients < 20 years of age, 3-year survival probabilities are  $78 \pm 6\%$  after HLA-identical sibling transplants for refractory anemia (RA) or refractory anemia with ringed sideroblasts (RARS) and  $60 \pm 6\%$  after HLA-identical sibling transplants for refractory anemia with excess blasts (RAEB), RAEB in transformation (RAEB-T) or chronic

myelomonocytic leukemia (CMML),  $46 \pm 8\%$  after unrelated donor transplants for RA or RARS and  $45 \pm 5\%$  after unrelated transplants for RAEB, RAEB-T or CMML. Among patients older than 20 years of age, 3-year survival probabilities are  $52 \pm 7\%$  after HLA-identical sibling transplants for RA or RARS,  $38 \pm 2\%$  after HLA-identical sibling transplants for RAEB, RAEB-T or CMML,  $36 \pm 6\%$  after unrelated donor transplants for RA or RARS and  $33 \pm 3\%$  after unrelated donor transplants for RAEB, RAEB-T or CMML.



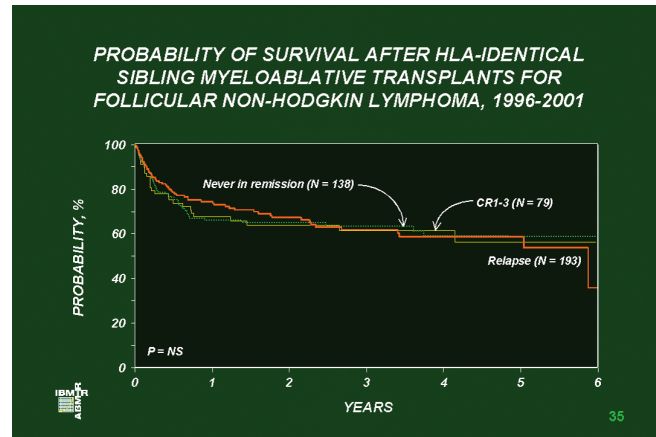
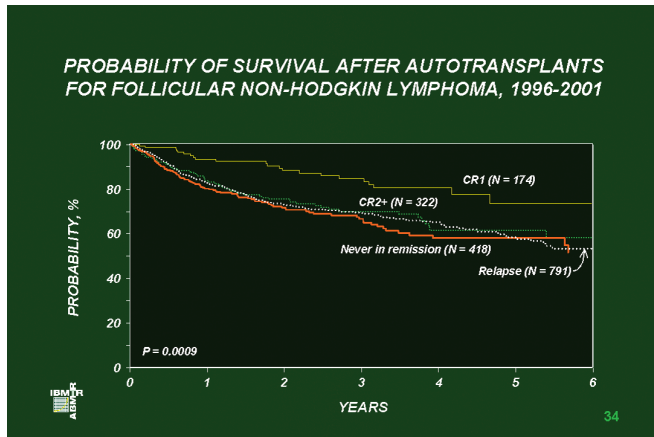
**Slide 32:** Allogeneic transplantation is the treatment of choice for young patients with severe aplastic anemia (SAA) who have an HLA-identical sibling donor. 1,534 HLA identical sibling transplants were performed for SAA and registered with the IBMTR between 1996 and 2001. Three-year probabilities of survival were  $82 \pm 2\%$  for recipients  $\leq 20$  years of age and  $71 \pm 2\%$  for older patients. Corresponding probabilities of survival with unrelated donor transplantation were  $53 \pm 4\%$  and  $33 \pm 5\%$ . Recipients of unrelated donor transplants tended to have a longer time between diagnosis and transplant and to have failed other therapies before transplantation.

**Slide 33:** Combination chemotherapy is the standard treatment for most patients with Hodgkin Disease. In the 20 to 30% of patients who fail conventional therapy, autologous transplantation is an effective salvage therapy. A total of 3,526 patients with Hodgkin disease underwent autologous transplantation in 1996-2001 and were registered with the ABMTR. Patients transplanted in first remission had a 3-year survival probability of  $82 \pm 3\%$ ; those transplanted in  $\geq 2$ nd remission had a probability of  $77 \pm 2\%$ . Although survival rates are lower, there is still a substantial salvage rate for patients who never achieved remission with conventional therapy ( $58 \pm 2\%$ ) and for those transplanted in relapse ( $65 \pm 1\%$ ).

## ACCME Board of Directors drafts new CME standards

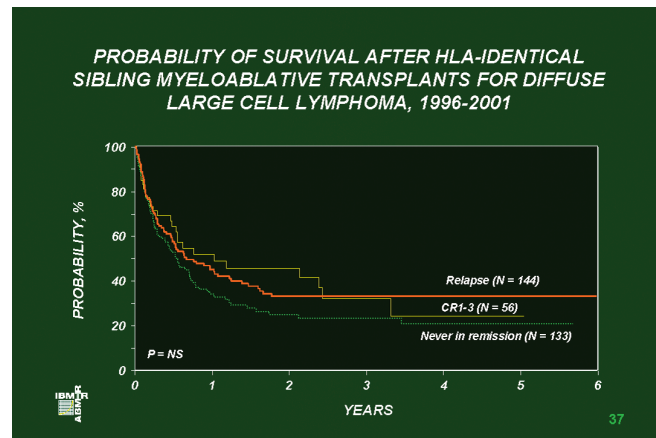
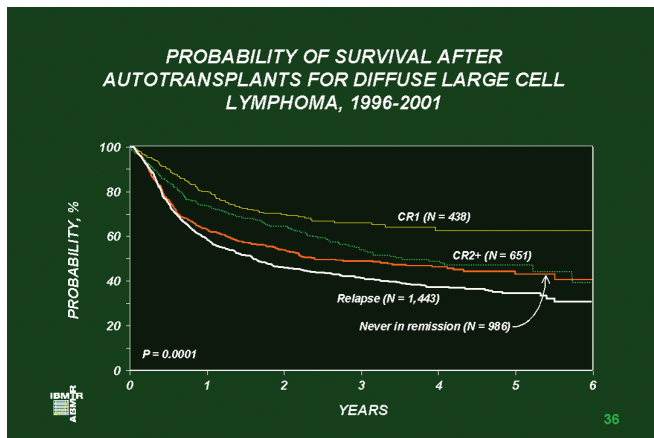
In a unanimous vote, the directors of the Accreditation Council for CME approved revised "Standards for Commercial Support" that have been sent to member organizations for approval. A major concern with an initial draft was the stipulation precluding physicians from teaching if they had a conflict of interest. The new revision includes no such prohibition, but does require that everyone who is in a position to control CME content must dis-

close all relevant financial relationships with any commercial interest. Anyone who refuses to disclose cannot participate in the development of the CME program and is disqualified from being a planning committee member, a teacher, or an author.



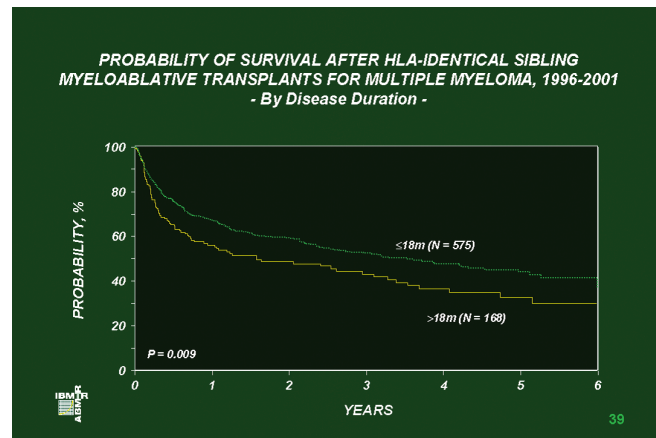
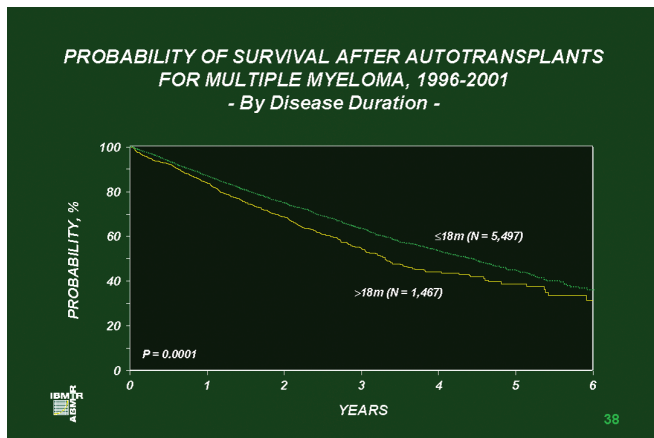
**Slide 34 and 35:** Non-Hodgkin lymphoma (NHL) is the most common indication for hematopoietic stem cell transplantation. Most of these transplants use autologous peripheral blood or bone marrow cells. Among 1,705 patients with follicular lymphoma who received autologous transplantation between 1996 and 2001 and were registered with the ABMTR, 3-year survival probabilities were  $85 \pm 4\%$  for patients transplanted in first remission,  $70 \pm 3\%$  for patients transplanted in  $\geq 2$ nd remission,  $69 \pm 2\%$  for patients transplanted in

relapse, and  $67 \pm 3\%$  for patients who never achieved remission with conventional chemotherapy. The major cause of treatment failure after autotransplantation for NHL is recurrent lymphoma. An alternative approach is allogeneic transplantation. Using standard myeloablative conditioning, the 3-year survival probability of patients with follicular lymphoma who underwent HLA identical sibling transplantation between 1996 and 2001 and were registered with the IBMTR was approximately 60%. Most deaths were transplant-related.



**Slide 36 and 37:** Between 1996 and 2001, 3,518 patients with diffuse large cell lymphoma who underwent autologous transplantation were registered with the ABMTR. The 3-year survival probability of patients by disease stage are as follows:  $66 \pm 3\%$  for patients in first remission,  $54 \pm 3\%$  for patients in  $\geq 2$ nd remission,  $41 \pm 2\%$  for patients in relapse and  $49 \pm 2\%$  for patients who never achieved

remission. Only 333 patients receiving HLA identical sibling transplants for diffuse large cell lymphoma between 1996 and 2001 were registered with the IBMTR. Three-year survival probabilities for these patients ranged from 23 to 33%, with little difference by disease stage, though numbers were small and all patients had some high-risk features.



**Slide 38 and 39:** Hematopoietic stem cell transplantation is now considered standard therapy for multiple myeloma, a disease incurable with conventional chemotherapy. The 3-year survival probability of patients transplanted within 18 months of diagnosis is  $63 \pm 1\%$  compared to  $54 \pm 2\%$  for patients transplanted later. The 3-year survival

probability after standard myeloablative HLA identical transplantation is  $52 \pm 2\%$  for patients transplanted within 18 months of diagnosis and  $43 \pm 4\%$  for patients transplanted later. Early transplant-related mortality is high after allotransplantation.



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